

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
112300-1819

In Re Application Of: **Anthony J. Baerlocher et al.**

MAR 08 2006

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/738,426 TRADEMARK OFFICE	December 16, 2003	Sunit Pandya	29159	3714	8046

Title: **GAMING DEVICE HAVING OUTCOMES WHICH REPLICATE THE LAWS OF PHYSICS**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

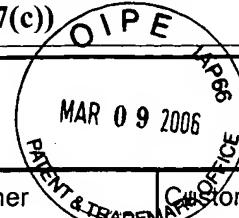
**OR**

the fee set forth in 37 CFR 1.17(p).

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10/738,426	December 16, 2003	Sunit Pandya	29159	3714	8046

Title: GAMING DEVICE HAVING OUTCOMES WHICH REPLICATE THE LAWS OF PHYSICS

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \$180.00 is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
  - Charge the amount of
  - Credit any overpayment.
  - Charge any additional fee required.
- Payment by credit card. Form PTO-2038 is attached.

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**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa)

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**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

March 6, 2006

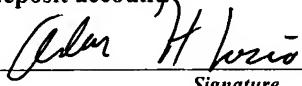
(Date)

Signature of Person Mailing Correspondence

Renee Street

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

  
 Signature

Dated: March 6, 2006

Adam H. Masia (Reg. No. 35,602)  
 Customer No. 29159  
 312-807-4284

CC:



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Anthony J. Baerlocher et al.  
Appl. No.: 10/738,426  
Conf. No.: 8046  
Filed: December 16, 2003  
Title: GAMING DEVICE HAVING OUTCOMES WHICH REPLICATE THE LAWS OF PHYSICS  
Art Unit: 3714  
Examiner: Sunit Pandya  
Docket No.: 0112300-1819

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form be made during the course of examination of the above-identified application for United States patent. Pursuant to 37 C.F.R. 1.98, copies of all foreign patent documents and non-patent documents are enclosed.

#### U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,106,879	May 21, 1991	Parker et al.
5,131,655	July 21, 1992	Ugawa
5,509,655	April 23, 1996	Ugawa
5,836,819	November 17, 1998	Ugawa
5,951,009	September 14, 1999	Miyamoto et al.
6,340,158	January 22, 2002	Pierce et al.
6,398,219	June 4, 2002	Pierce et al.
6,769,982	August 3, 2004	Brosnan

#### FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
GB 2 341 262	March 8, 2000	Great Britain
WO 2005/094954	October 13, 2005	PCT

**OTHER DOCUMENTS**

Big Top Keno advertisement written by Aristocrat, published in 2000 (1 page).

Spring Carnival Article written by Strictly Slots, published in 2003 (3 pages).

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



Adam H. Masia  
Reg. No. 35,602  
Customer No. 29159

Dated: March 6, 2006

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)	Atty Docket No. <b>112300-1819</b>	Application No. <b>10/738,426</b>
<i>OPT</i> <i>MAR 0 8 2006 PGS</i>	Applicant <b>Anthony J. Baerlocher et al.</b>	
PTO Form 1449	Filing Date <b>December 16, 2003</b>	Group <b>3714</b>

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	5,106,879	May 21, 1991	Parker et al.			
	5,131,655	July 21, 1992	Ugawa			
	5,509,655	April 23, 1996	Ugawa			
	5,836,819	November 17, 1998	Ugawa			
	5,951,009	September 14, 1999	Miyamoto et al.			
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	6,398,219	June 4, 2002	Pierce et al.			
	6,769,982	August 3, 2004	Brosnan			

Examiner's Initials	OTHER DOCUMENTS ( <i>Including Author, Title, Date, Pertinent Pages, Etc.</i> )
	Big Top Keno advertisement written by Aristocrat, published in 2000 (1 page).
	Spring Carnival Article written by Strictly Slots, published in 2003 (3 pages).

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	